The World Bank Office of

SUSPENSION AND DEBARMENT

Useful W0annks

Executive Summary

2014
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20433
202-473-1000

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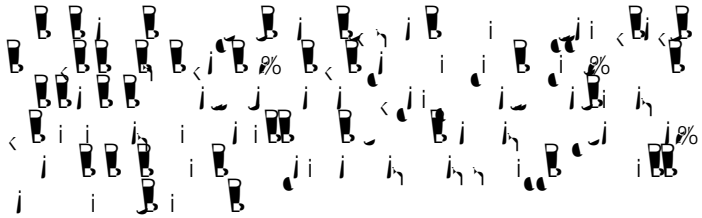
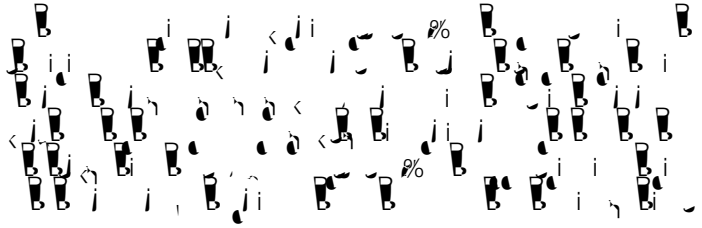
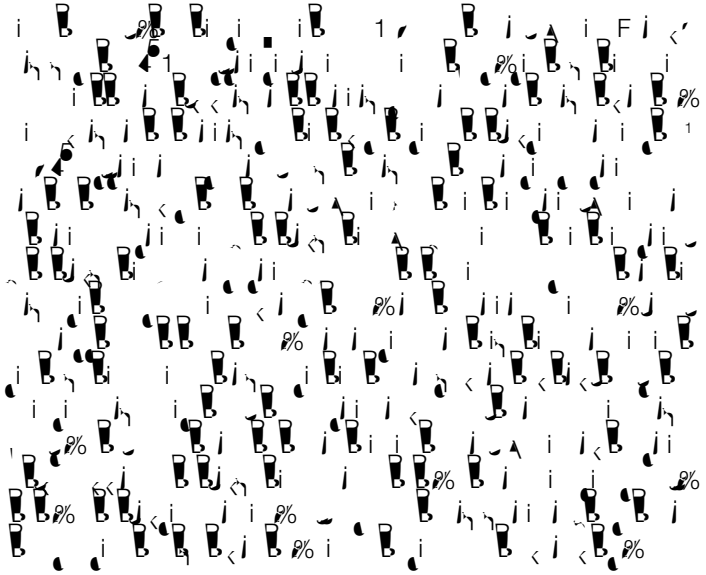
ABBREVIATIONS AND ACRONYMS

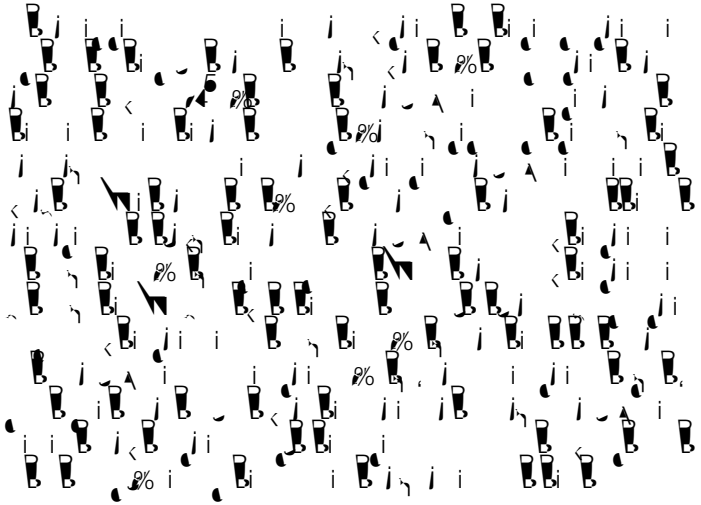
FOREWORD





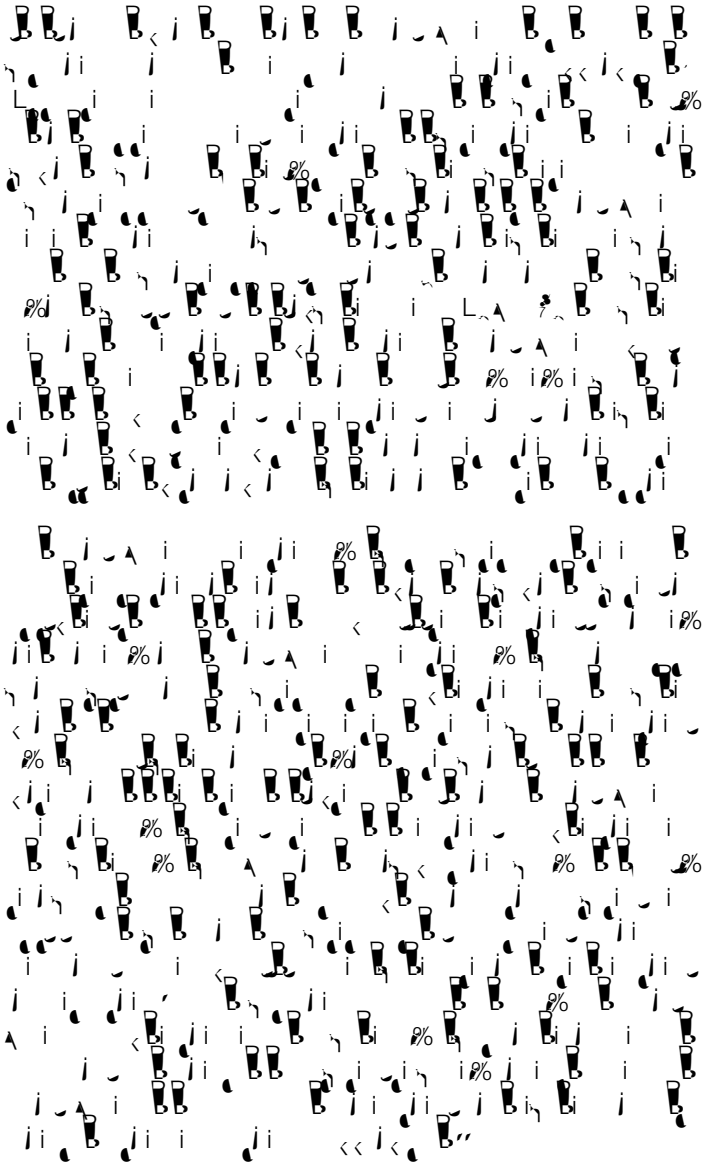
INTRODUCTION

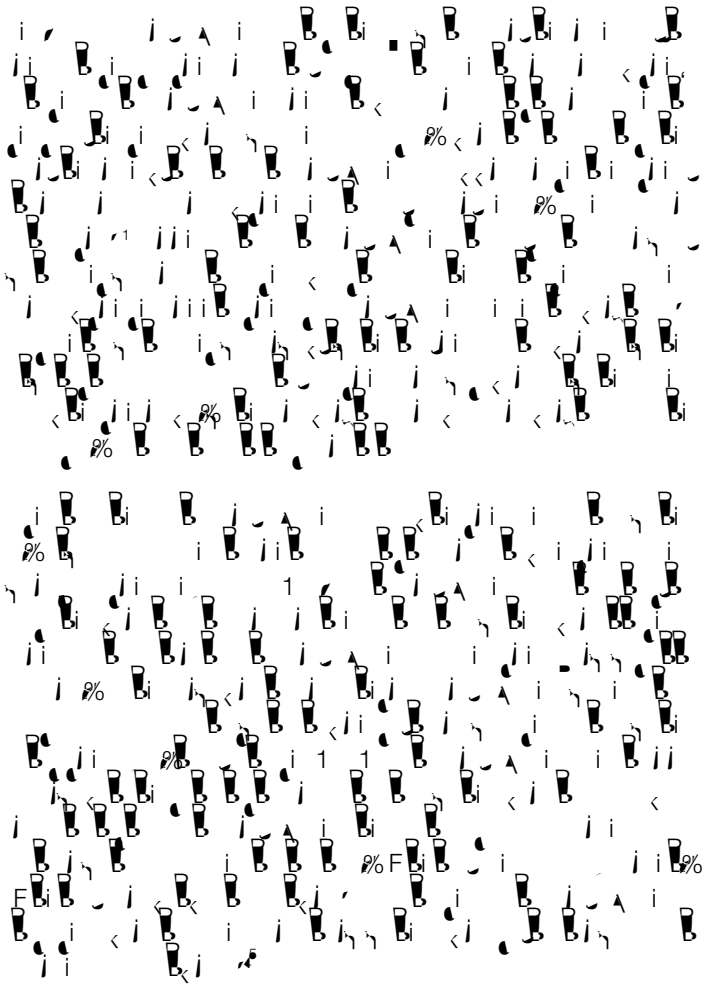


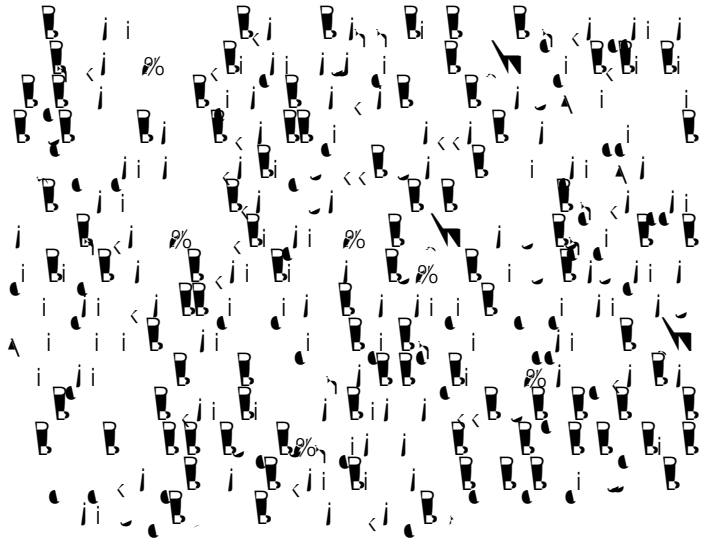


Fiduciary and Legal Foundations of the World Bank's Suspension and Debarment System

Origins of the World Bank's Suspension and Debarment System

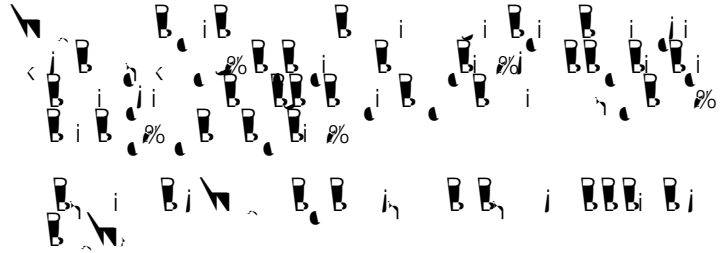




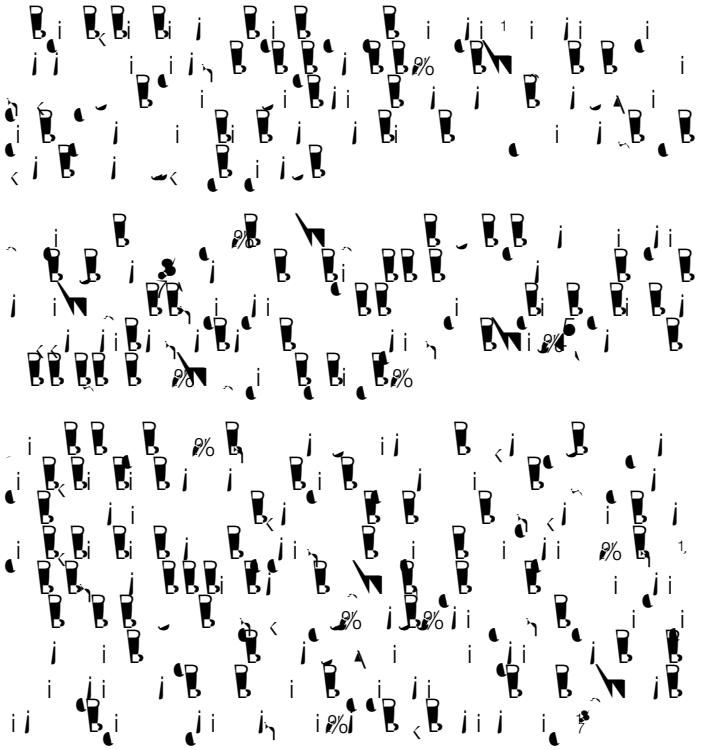


S e e de d ec g e a g f e e de ce e ed b e Ba d be f e c e e a e f e, a d ce e e de d be f ed f e a c a d be ed e a f ed f e ec e da f e [SDO], e e de c d dec de e e a e ca e e Sa c [B a d] f edge f e c e e ce f d g . A a e , e Ba d be ab e d a c e ca e g g g e ea de e ea ca ed af e e a d ea g b e [Sa c B a d]. T d bec e c ea g e f e Ba a [e l eg Vce P e de c] e ga e e ca e f fa d a d c g c ac a d a ac a e a e bee e f c fa e beca e d d a e dd eac a g e e f g ca ce, b c , beca e f e be, a e ec g ed a c ec e a a d a a e a eed be add e ed f e f ge e a de e e ce.[22]

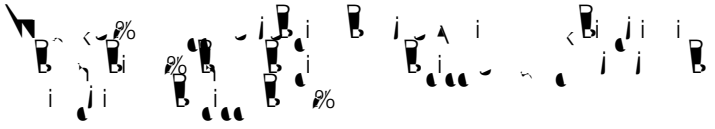
The Mandate of the Of ce of Suspension and Debarment



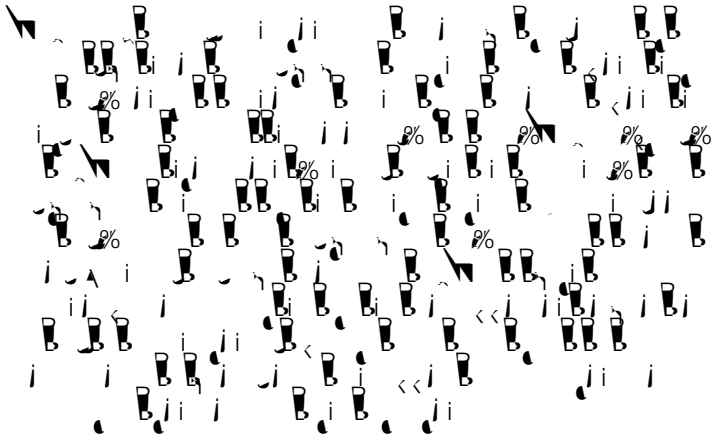
T e W d Ba [SDO] ac ca c e e g a ef c e , effec e a d fa a c ce . T e a e e f a c ca e b e [SDO] a f e ea d e ece f f a c ceed g e e ca e. e e g d eac a g c (ld de f e ea d a.

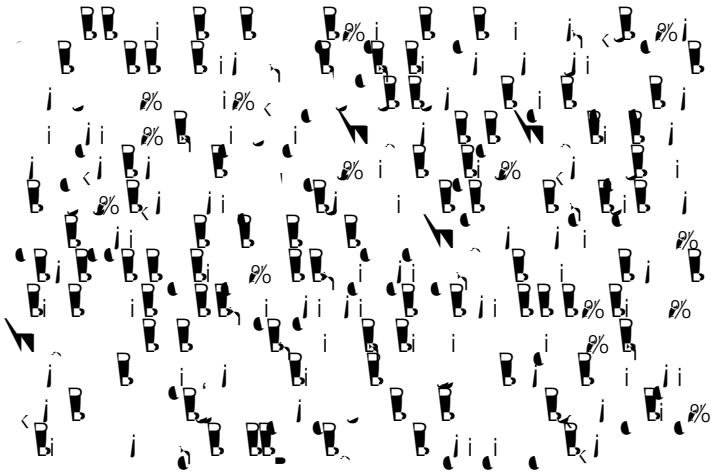


The Core Work of OSD

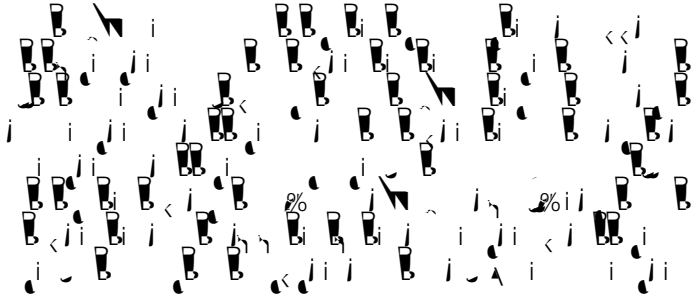


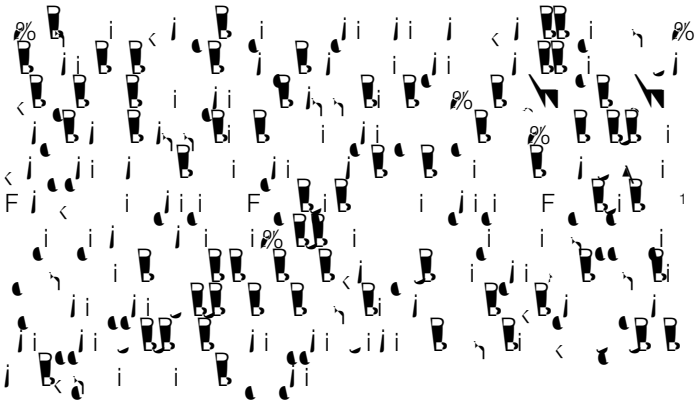
De nitions of Sanctionable Practices





Case Issuance, Recommended Sanctions and Temporary Suspension





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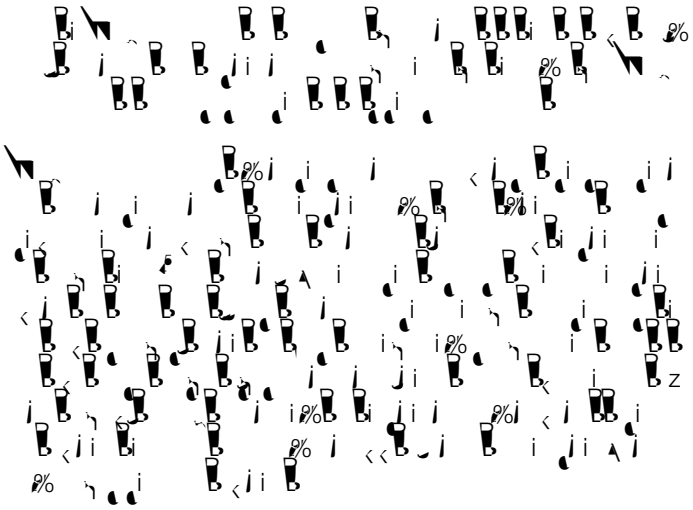
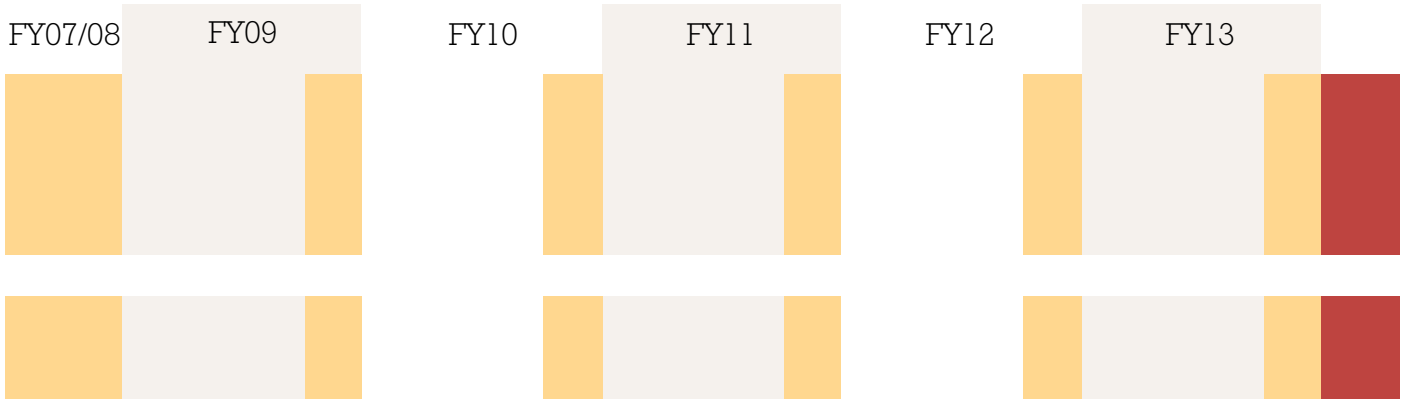


OSD DASHBOARD



Sanctions Results

F D	0	2	16	4	0	12	32	21	20	0	10	51	0	5	30	20	55	18	17	1	22	58	20	9	5	7	41	239
F D	0	2	1	0	8	4	13	8	18																		55	7

172 Sanctions Cases Submitted by INT

165	
7	
18	D
2	D
7	D
11	
1	D
133	

133 Cases Issued by OSD

1	
7	
14	
2	AE
109	

Percentage of Issued Cases Resolved at OSD Level

60%	D
40%	B

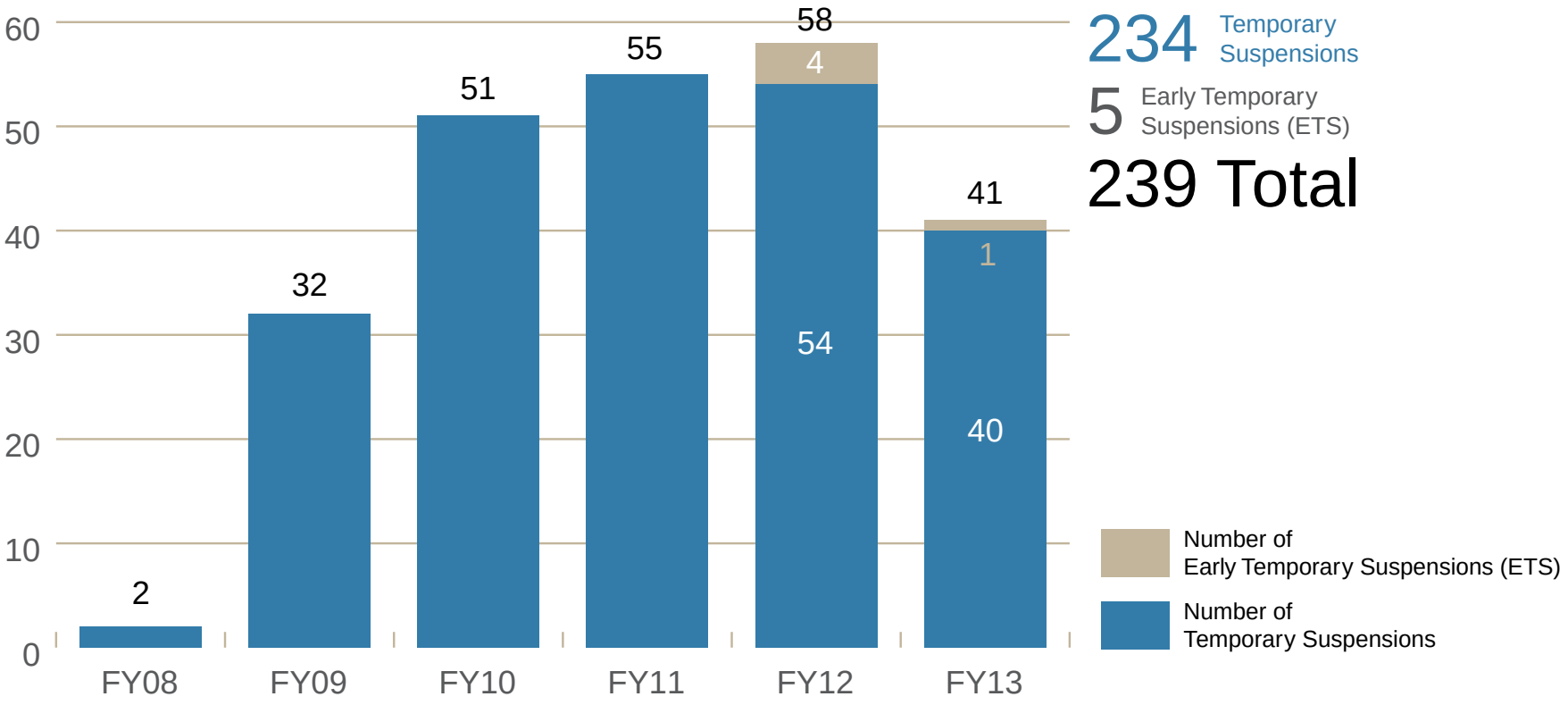
239 Firms/Individuals Temporarily Suspended

185	H D/ B
4	
10	B
37	
2	
1	

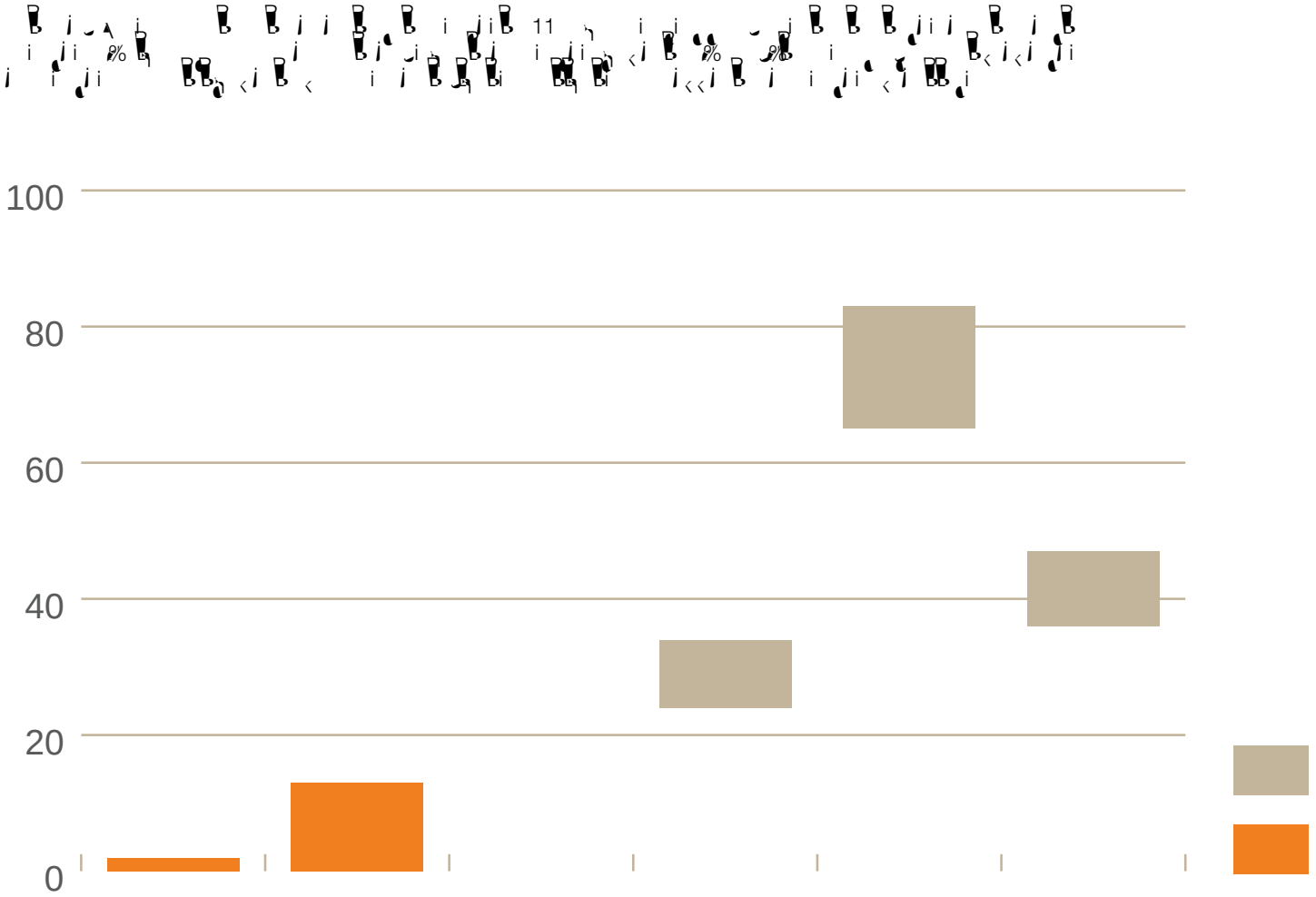
224 Firms/Individuals Debarred or Otherwise Sanctioned

185	D/ B
39	

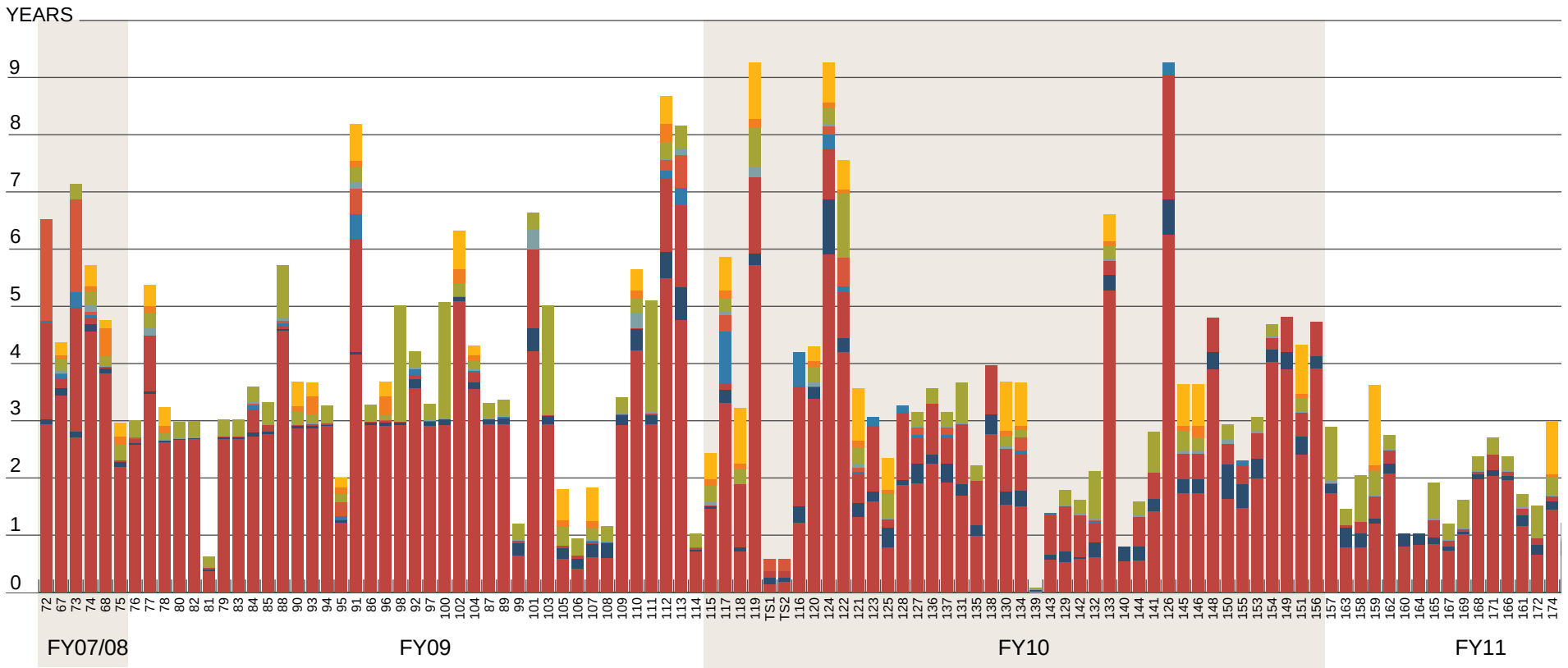
NUMBER OF FIRMS/INDIVIDUALS TEMPORARILY SUSPENDED BY OSD



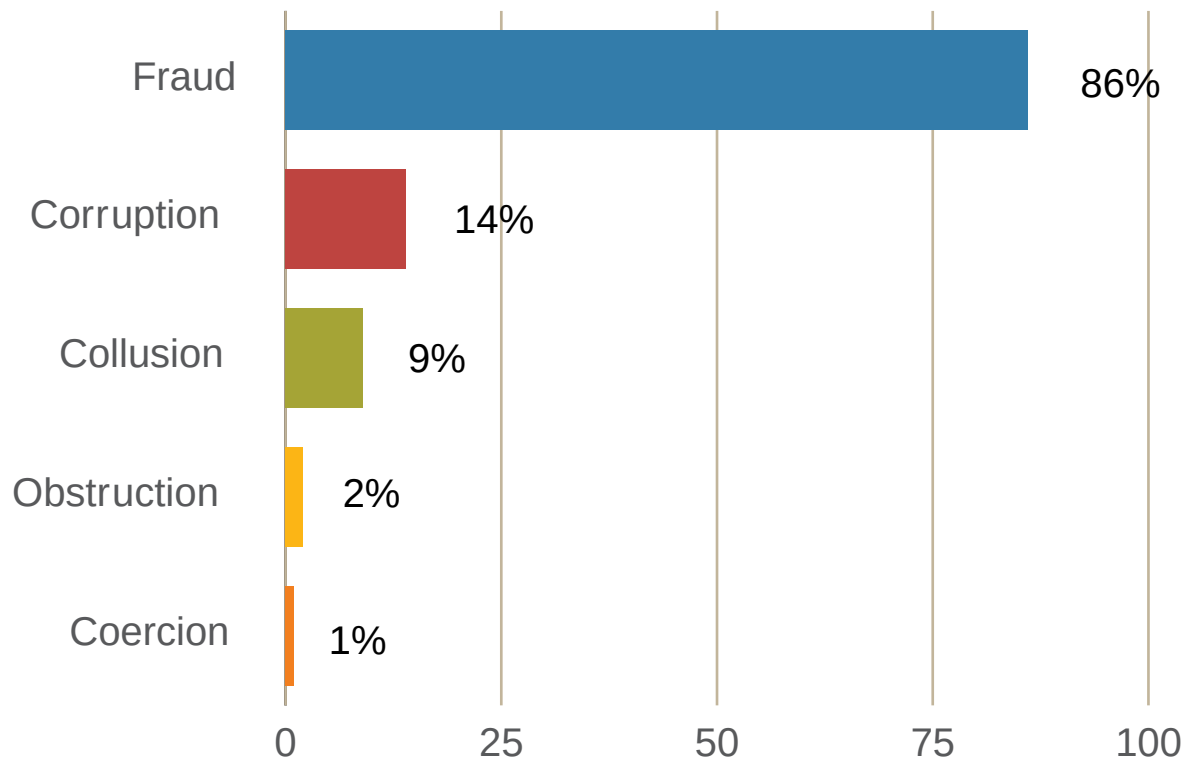
NUMBER OF FIRMS/INDIVIDUALS DEBARRED OR OTHERWISE SANCTIONED



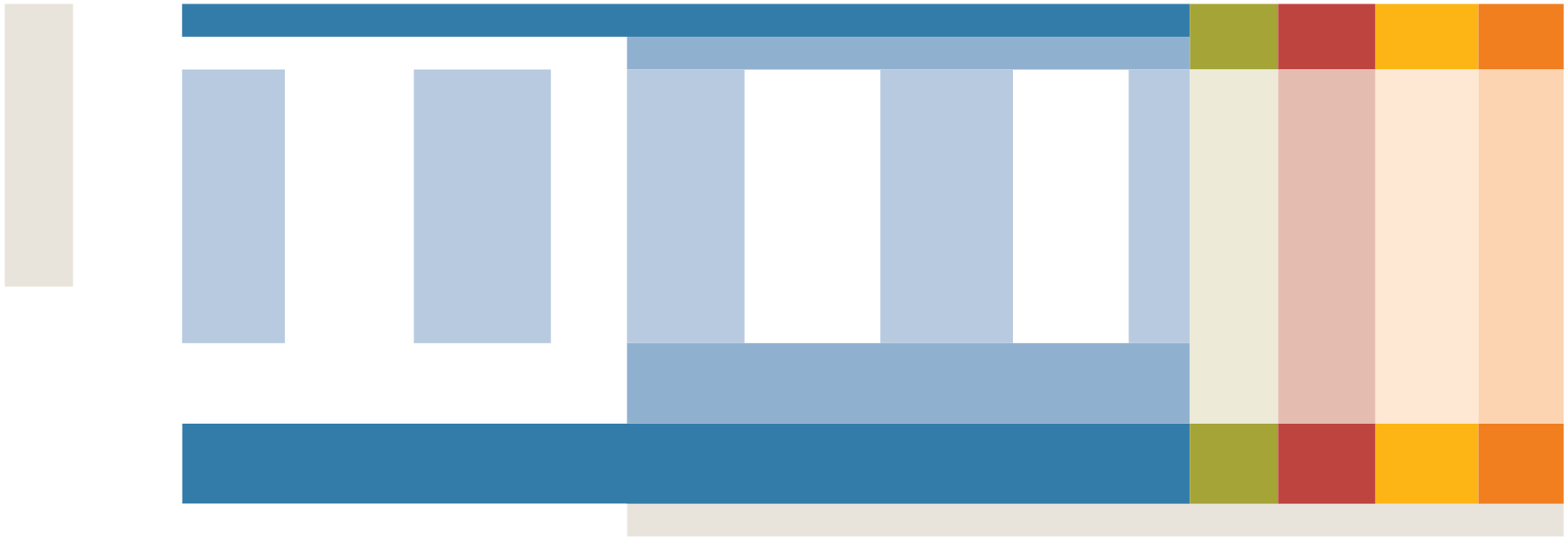
DURATION OF CASES THROUGH FINAL SANCTIONS DECISION

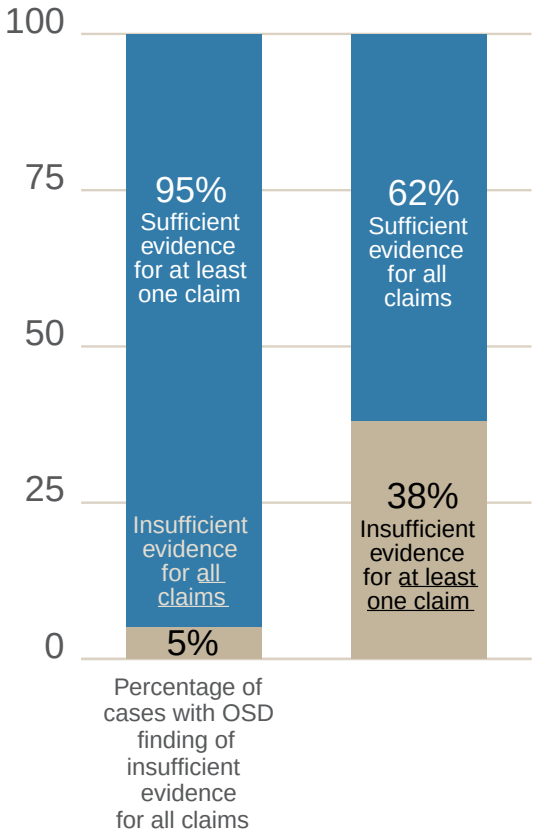


TYPOLOGY: PERCENTAGE OF CASES/SETTLEMENTS RECEIVED BY TYPE OF SANCTIONABLE PRACTICE

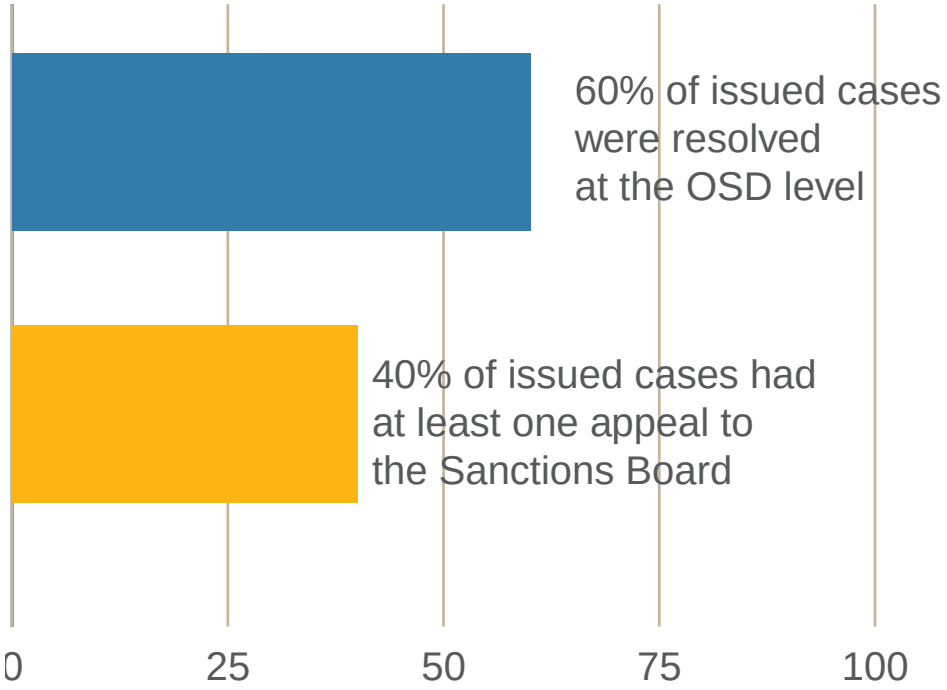


TYPOLOGY: BREAKDOWN OF FRAUDULENT PRACTICE CLAIMS




100
75
50
25
0
95%
Sufficient evidence for at least one claim
Insufficient evidence for all claims
5%
62%
Sufficient evidence for all claims
38%
Insufficient evidence for at least one claim
Percentage of cases with OSD finding of insufficient evidence for all claims

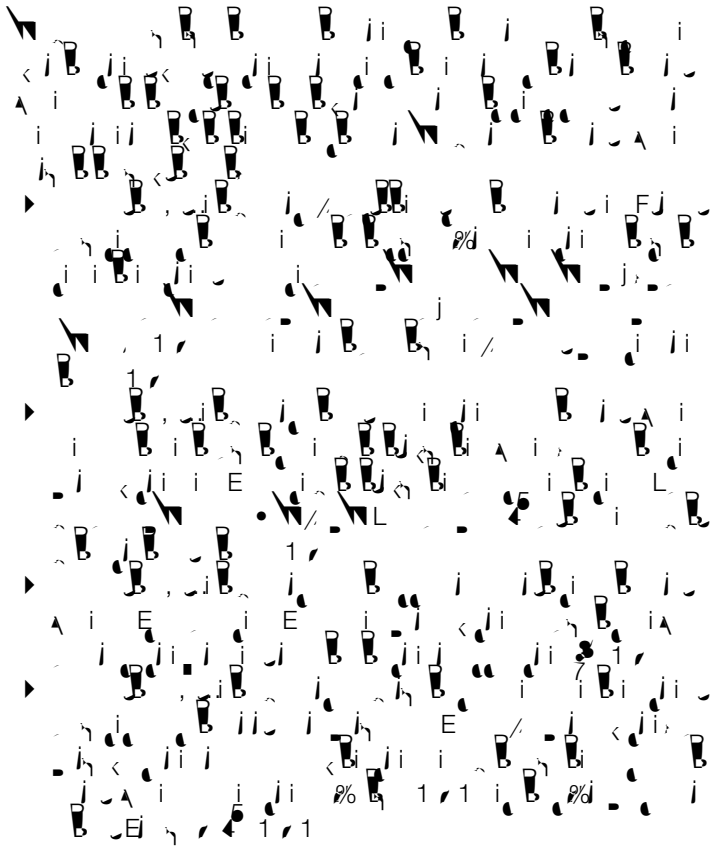
PERCENTAGE OF ISSUED CASES RESOLVED AT OSD LEVEL

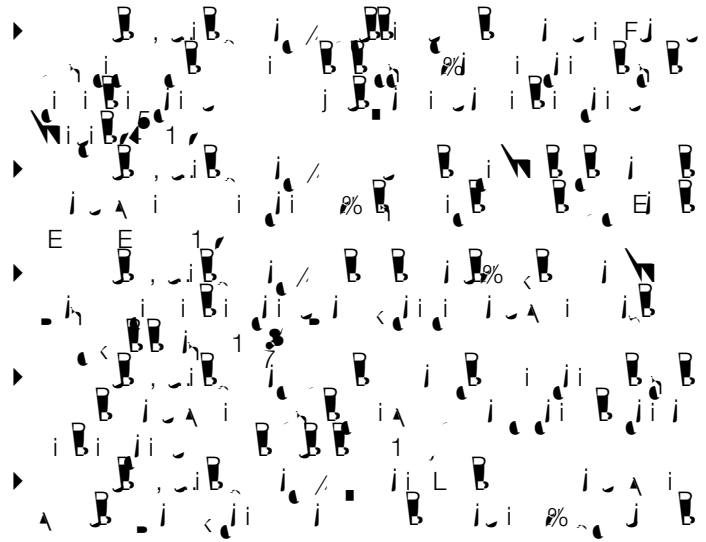


OSD OUTREACH

Left to right: Andrea Osorio, Consultant, Case Officer Office, Inter-American Development Bank and Daniel Gordon, Associate Dean for Government Procurement Law Studies, The George Washington University Law School

PUBLICATIONS



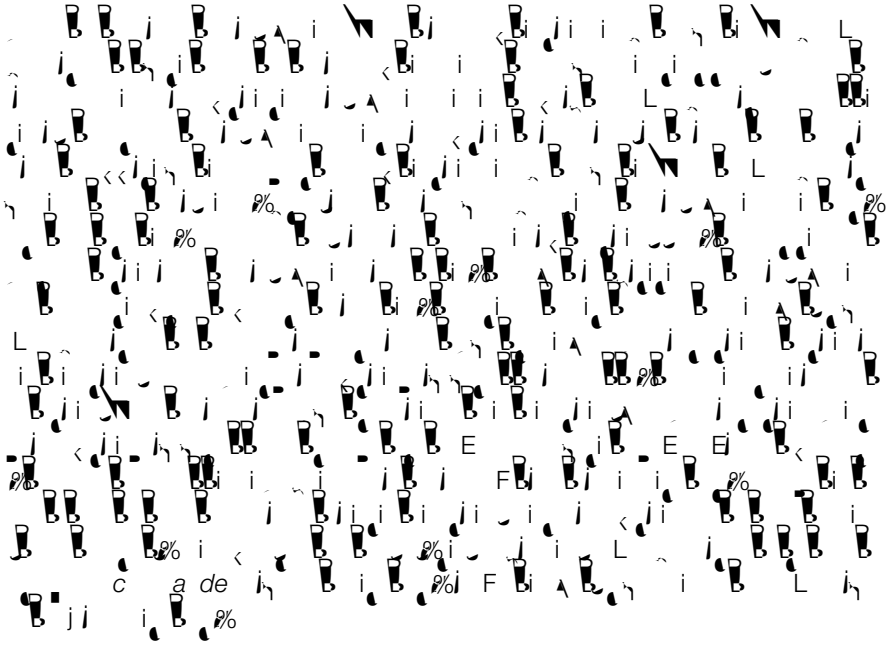


BUDGET AND STAFFING

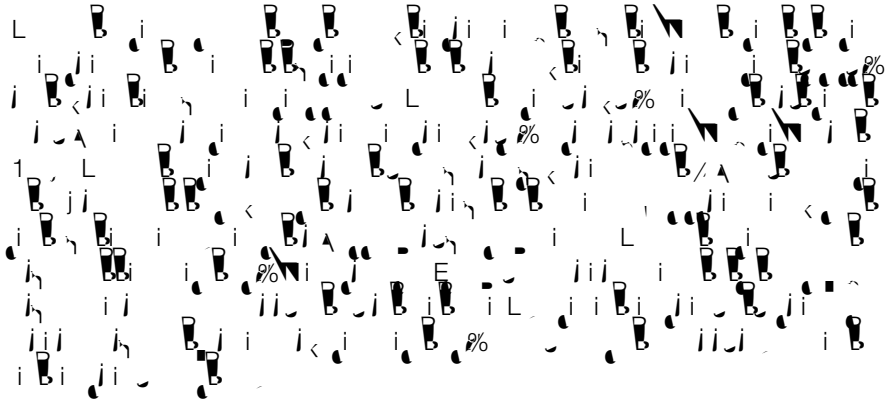
Management Structure

CORE STAFF

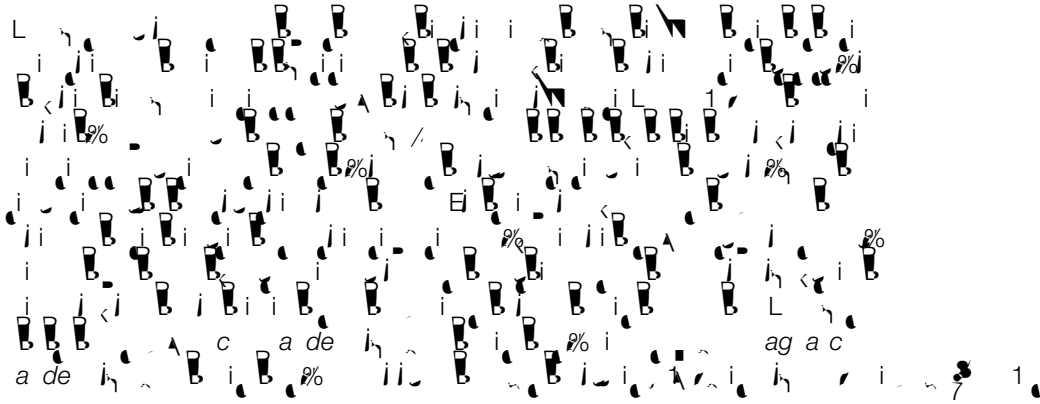
PASCALE HÉLÈNE DUBOIS
Chief Suspension and Debarment Of cer

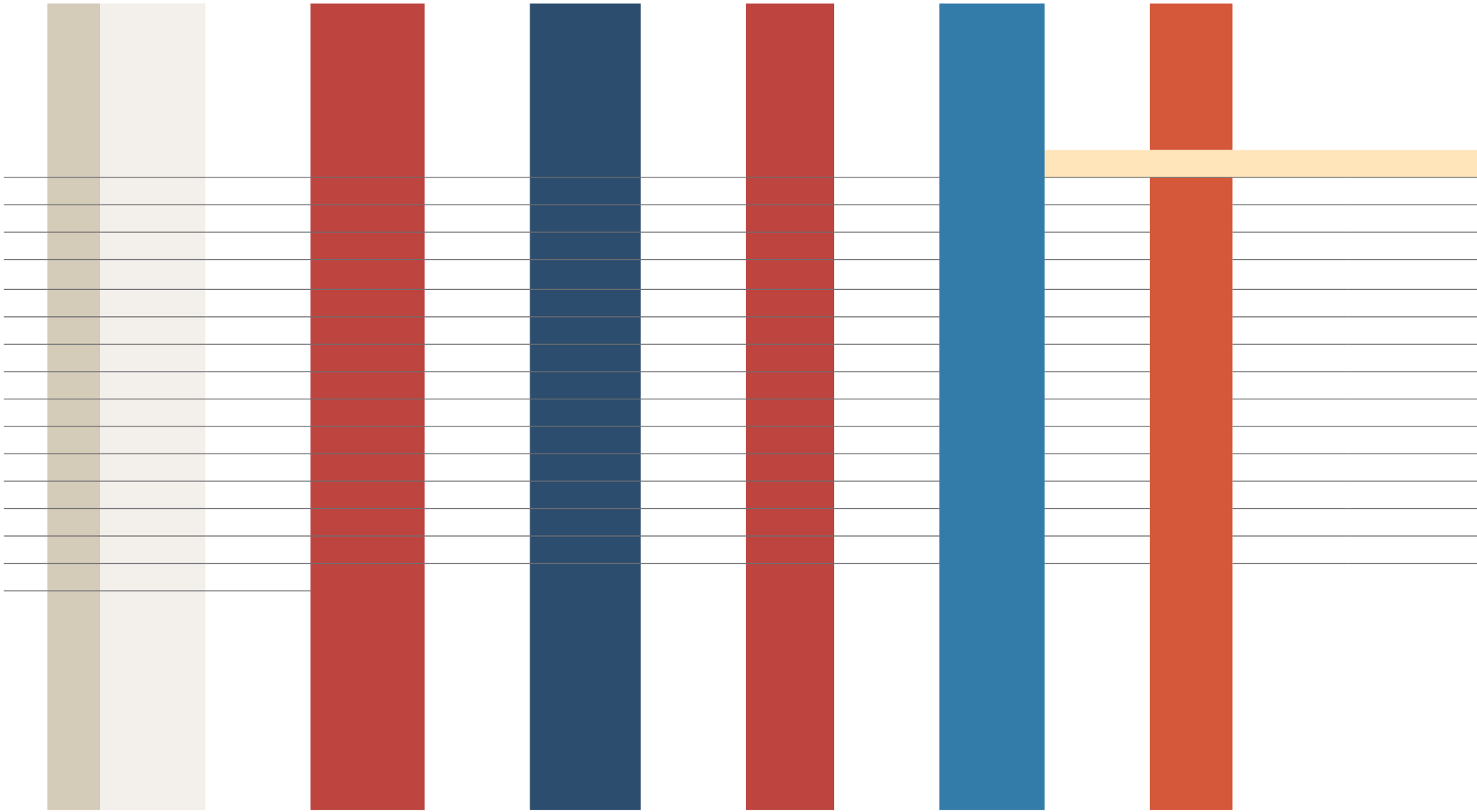


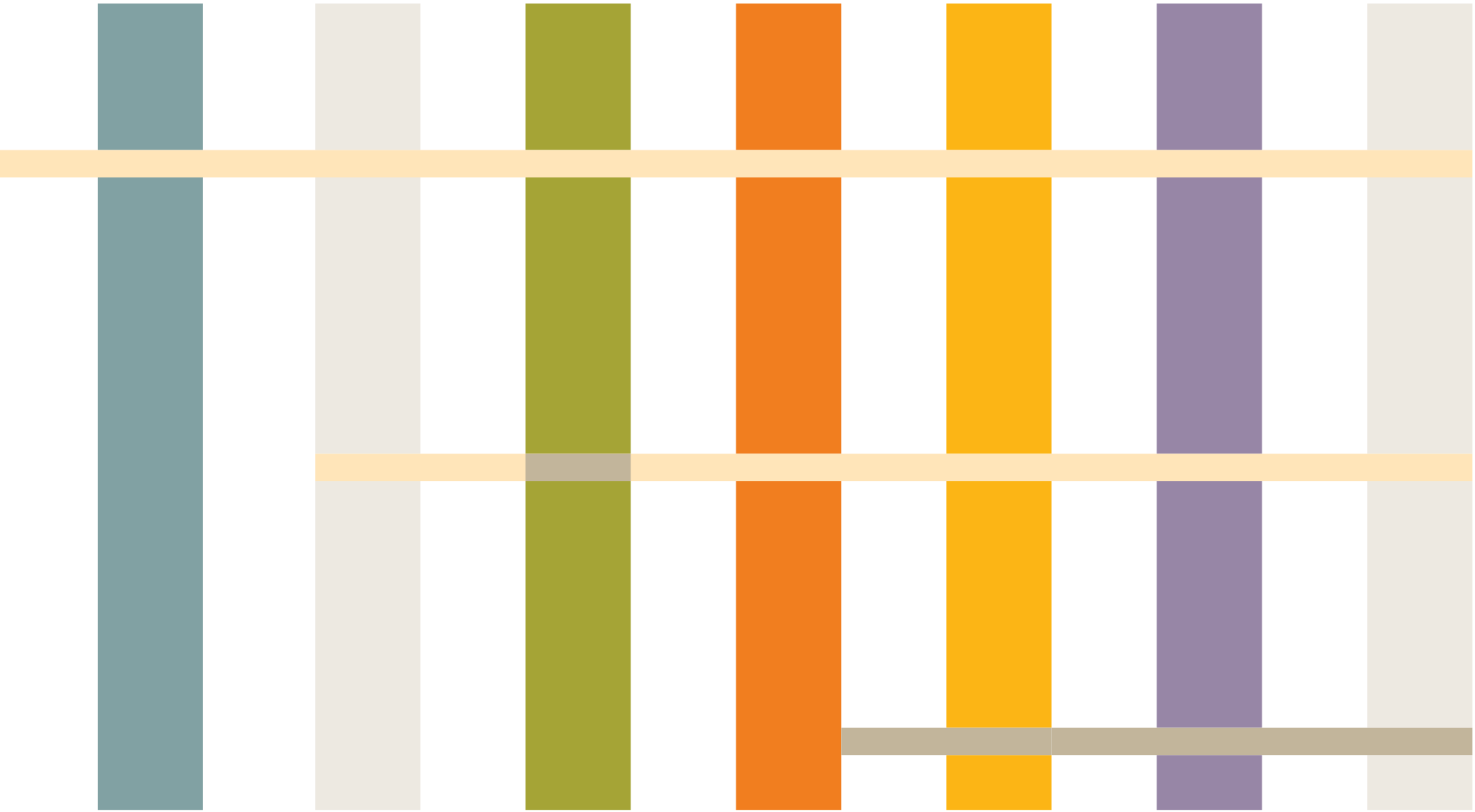
PAUL EZZEDDIN
Senior Policy Of cer

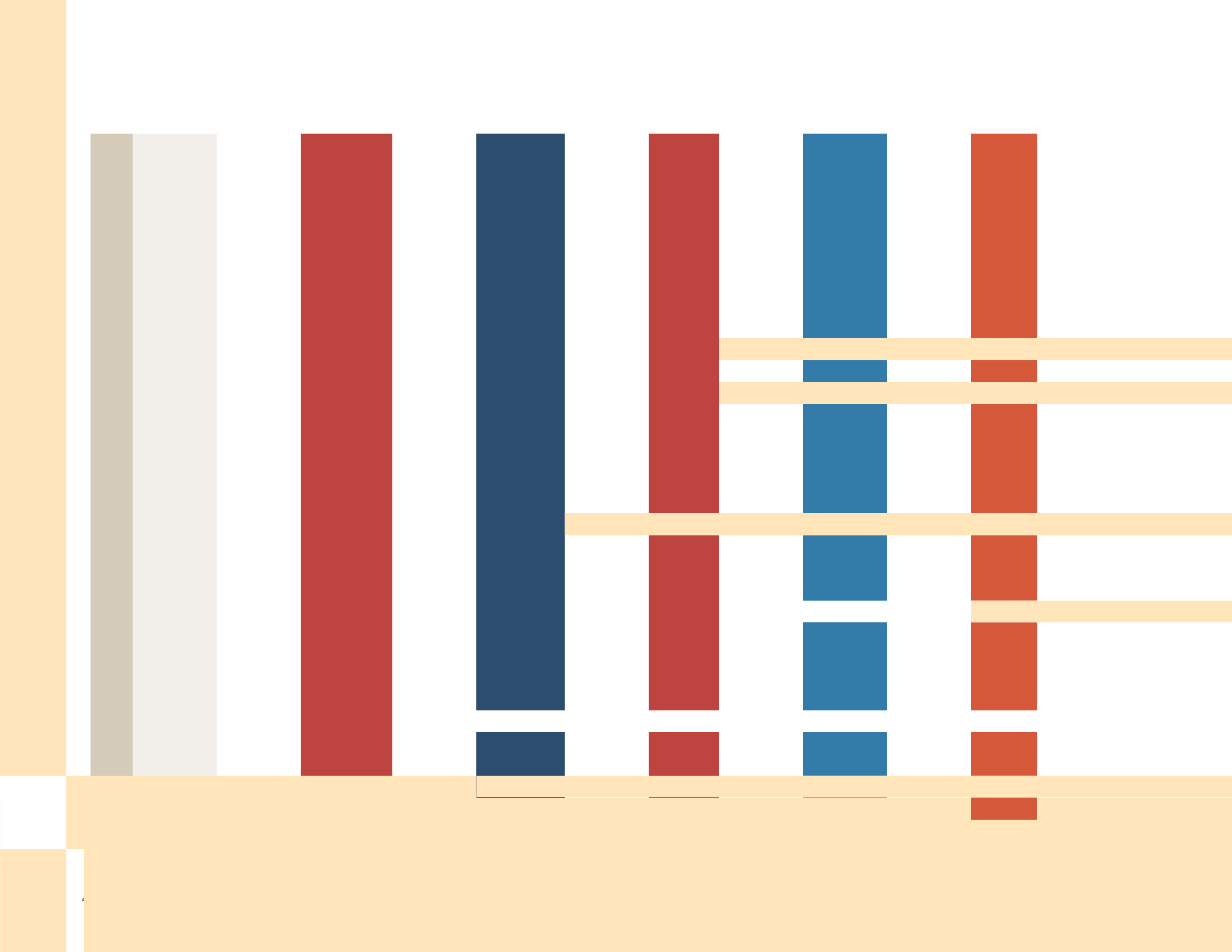


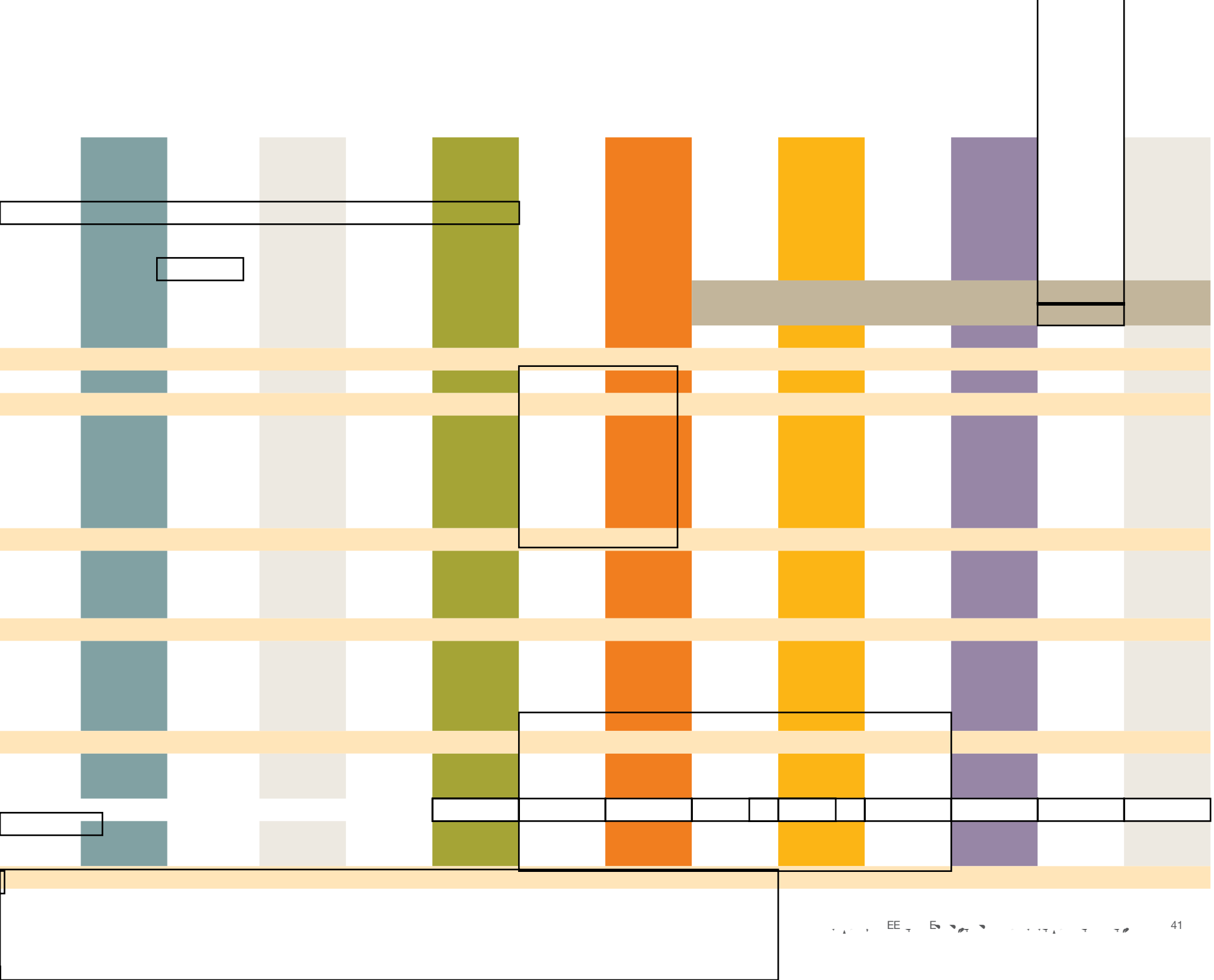
JAMIESON SMITH
Senior Counsel



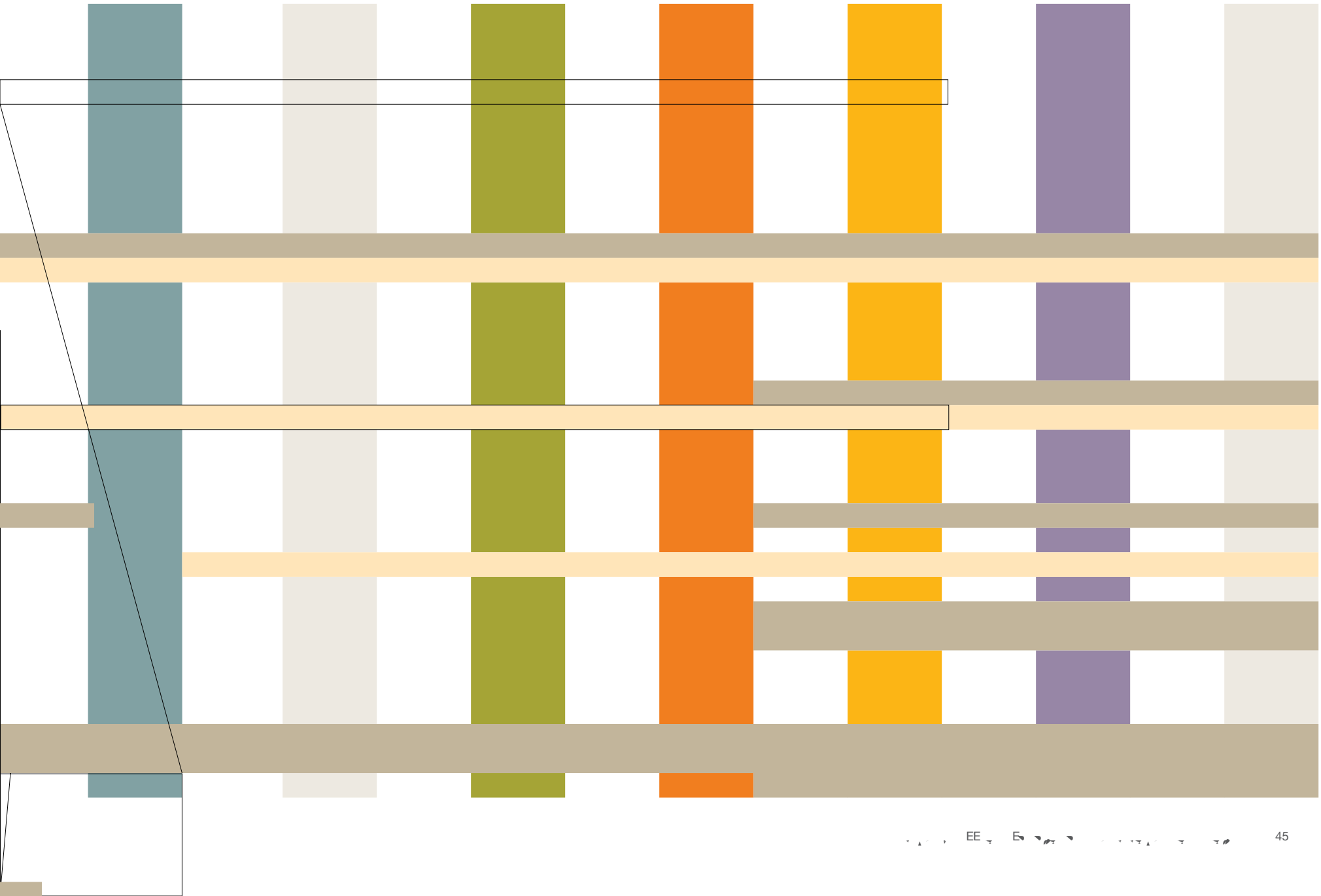


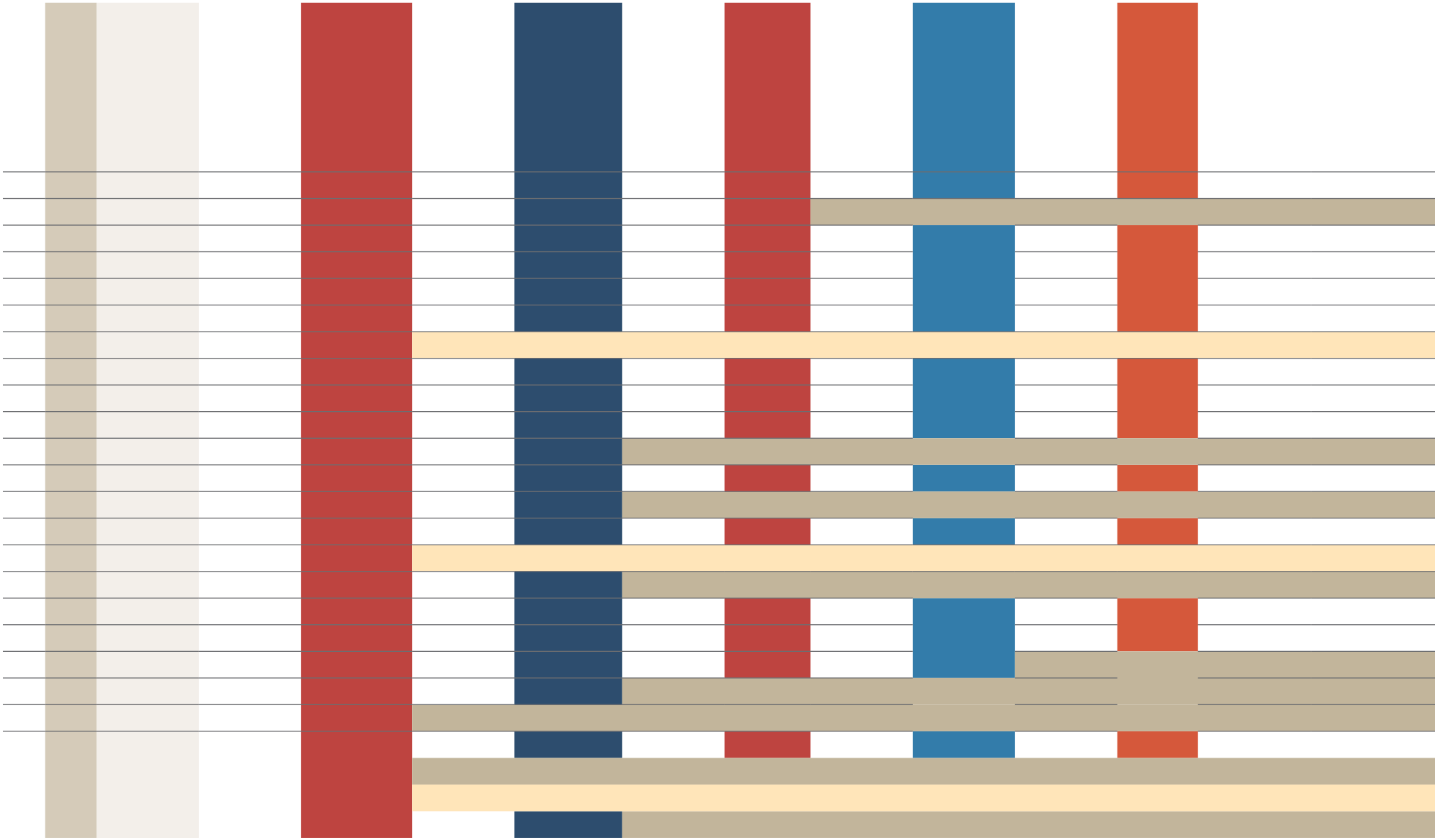






109.	179	0[illegible]/20/11
[illegible]	[illegible]52	10/26/09
111.	181	08/04/10
112.	182	04/29/09
113.	180	06/22/08
114.	147	08/28/09
115.	TS3	04/15/11
116.	183	08/04/10
117.	187	06/08/11
118.	186	08/04/10
119.	170	04/15/11
120.	188	01/13/09
121.	TS4	05/20/10
122.	190	06/15/10
123.	195	06/05/09
124.	194	06/05/09
125.	191	12/08/10
126.	TS5	09/02/11
127.	192	07/31/10
128.	193	06/05/09
129.	197	08/24/11
130.	199	12/15/10
131.	198	07/22/08
132.	196	12/09/10
133.	203	08/04/11
134.	202	10/01/09
135.	204	01/19/12





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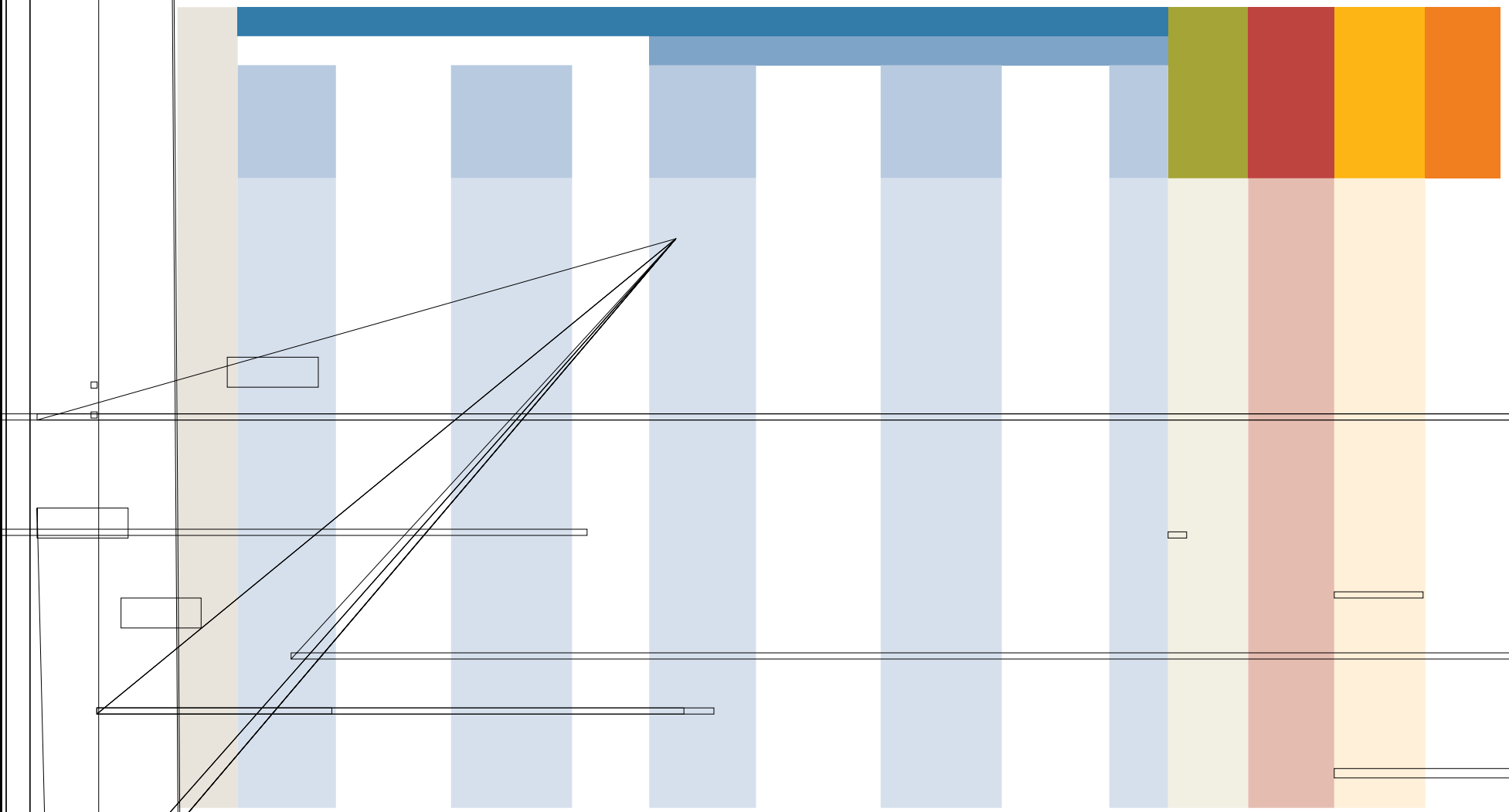
	D F I (D)	D D (. 15, 2010)	D B	D D (C (D)	D I '	I (D)	D B D	A H B (D)	(E) I ' AE	(I A .) (E) F In (D)	C D (D)	C D I ' AE/ D (D)
	1	04/08/13	Pending*	87							395	89
											793	73
											943	62
	8	Pending*		3							474	41
											1596	41
	8	Pending*		3							707	32
	3	Pending*		9							611	31
	3	Pending*		9							930	30
											963	6
											934	6
											1068	2
	11			137		49		209		174	1131	373

ed cases for which the Notice wasprif daoing,y,(,)SDO'ommmaillyshe new .13.

DETAILED TYPOLOGY WITH BREAKDOWN

DETAILED TYPOLOGY WITH BREAKDOWN OF FRAUDULENT PRACTICE CLAIMS continued

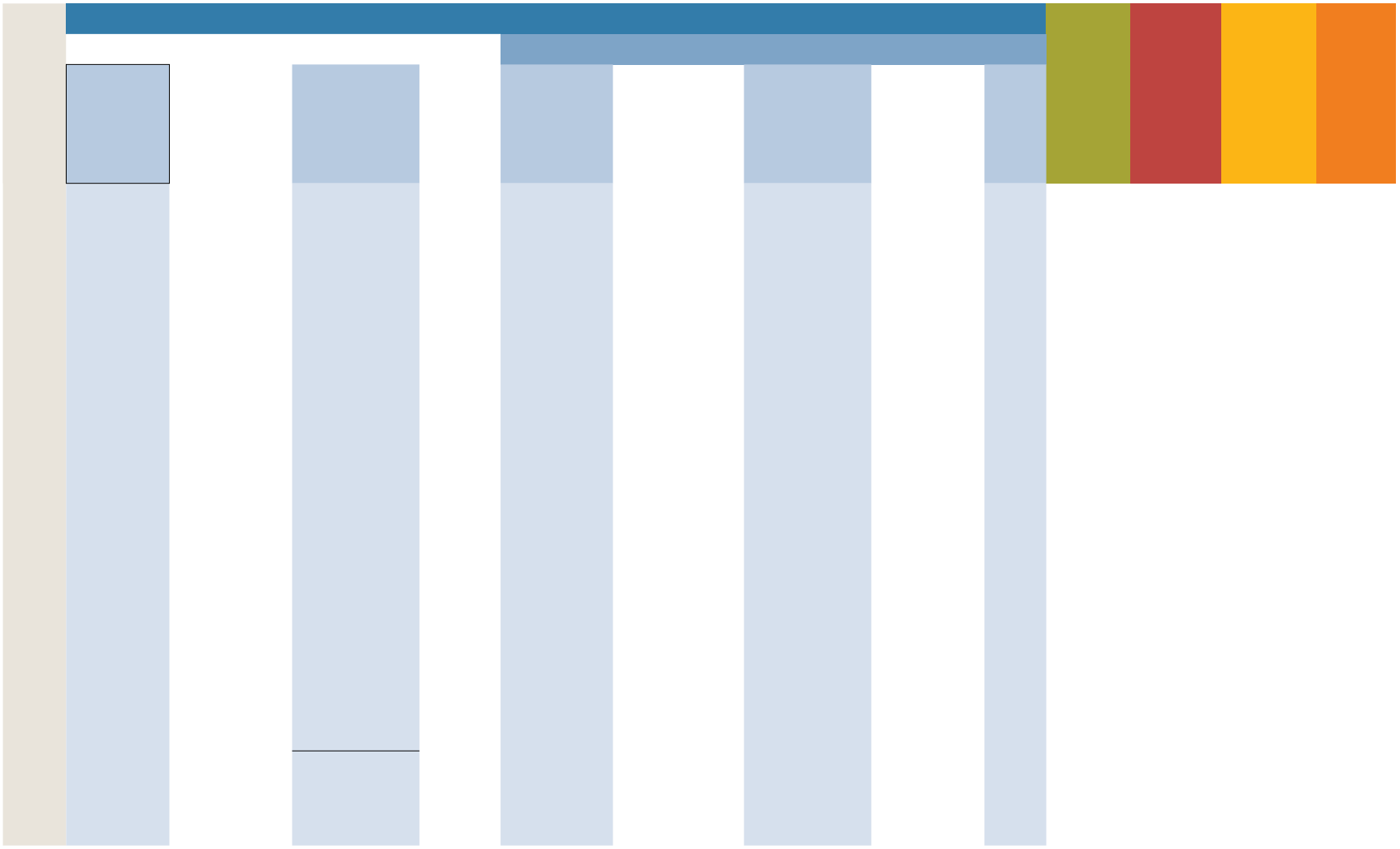


DETAILED TYPOLOGY WITH BREAKDOWN OF FRAUDULENT PRACTICE CLAIMS continued

SAEs and RTSs

		Fraud												
		Forged Third Party Documents				Other Fraud								
	C	F B G	F A	F E D	F	F C	- C f A	- E	- F	F	Collusion	Corruption	Obstruction	Coercion
81.	148													

DETAILED TYPOLOGY WITH BREAKDOWN OF FRAUDULENT PRACTICE CLAIMS continued



DETAILED TYPOLOGY WITH BREAKDOWN OF FRAUDULENT PRACTICE CLAIMS continued